



# Transition metal catalyzed carboannulation of diazabicyclic alkenes with ambiphilic bifunctional reagents: a facile route towards functionalized indanones and indanols

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## ABSTRACT

Functionalized indanones are readily prepared in good to excellent yields by the Pd/Rh catalyzed carboannulation of bicyclic and tricyclic hydrazines with 2-iodobenzonitrile, 2-cyanophenylboronic acid and 2-formylphenylboronic acid. The reaction with 2-formylphenylboronic acid afforded 3,4-disubstituted cyclopentenones as minor product along with indanones under Rh catalyzed conditions, whereas indanols were obtained as the major product under Pd catalyzed conditions. The products obtained can be synthetically manipulated easily to pharmaceutically important molecules.

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## 1. Introduction

In recent years, transition metal catalyzed annulation processes have gained popularity as an attractive strategy in organic synthesis for the efficient construction of complex molecular frameworks.<sup>1,2</sup> Various transition metals, such as palladium,<sup>3</sup> nickel<sup>4</sup> and rhodium<sup>5</sup> were found to be effective catalysts in the hetero- and carboannulation of unsaturated cyclopropanes and cyclobutanes,<sup>6</sup> 1,2-dienes,<sup>7</sup> 1,3-dienes<sup>8</sup> and alkynes<sup>9</sup> leading to a wide variety of arene-containing heterocycles and carbocycles. Among the various unsaturated compounds, azabicyclic alkenes prepared by the Diels–Alder cycloaddition between cyclopentadiene and diazocompounds,<sup>10</sup> possess great synthetic potential. The combination of a strained carbon–carbon double bond and an N–N bond in the symmetrical bi and polycyclic hydrazines allows them to be easily activated by the metal catalysts.

The reactivity of azabicyclic alkenes have been extensively investigated with monocentered nucleophiles under transition metal catalyzed conditions.<sup>11,12</sup> These investigations resulted in the formation of either 3,4- or 3,5-disubstituted cyclopentenones. Kaufmann and co-workers reported the stereoselective ring opening of

bicyclic hydrazine with aryl iodides under palladium catalysis resulting in the formation of substituted cyclopentene and hydro-arylated adduct.<sup>11a,h</sup> Desymmetrization of bicyclic hydrazine with organoboronic acid affording *trans*-3,4-disubstituted hydrazino cyclopentenones under Pd/iodine condition have been reported by our group.<sup>12d</sup> Pineschi and later Lautens have explored the asymmetric ring opening of bicyclic hydrazine with boronic acids under rhodium catalysis.<sup>11i,13</sup> Lautens and co-workers have also reported a Rh(I) catalyzed carbonylative ring opening of diazabicycles with aryl anion equivalents generated from boronic acids.<sup>14</sup> Acid catalyzed rearrangements of these bicyclic alkenes leading to several polyfunctional diaminocyclopentanones have also been reported.<sup>11b,15</sup> The investigations of the reactivity of azabicyclic alkenes towards aromatic species (Fig. 1), with *ortho* bicoordinated reactive sites, have been limited. The reactive sites, FG<sub>1</sub> and FG<sub>2</sub> in such compounds can both be nucleophilic or electrophilic or one can be nucleophilic and the other electrophilic. We have recently explored the palladium catalyzed ring opening of azabicyclic alkenes with 2-iodophenol or 2-iodoaniline, which served as a two-fold nucleophile in enabling the stereoselective synthesis of cyclopentene-annulated benzofuran and indole derivatives.<sup>16</sup> A rhodium catalyzed tandem cyclization of various strained olefins with bifunctional arylboronic esters providing access to highly functionalized polycyclic systems was reported by Lautens and co-workers.<sup>17</sup>

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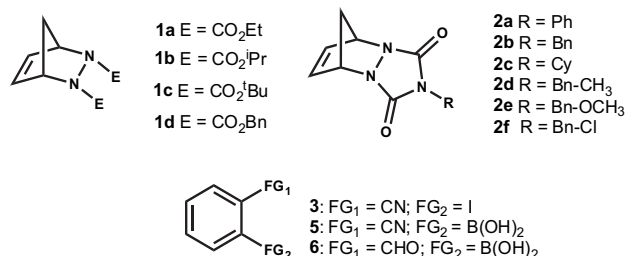


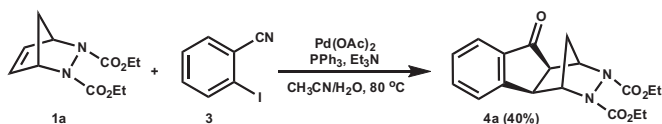
Fig. 1. Bicyclic and tricyclic hydrazines and bifunctional reagents used for the study.

Our interest in the metal catalyzed annulation process prompted us to examine the reactivity of various *o*-functionalized aryl halides like *o*-iodobenzonitrile and boronic acids towards azabicyclic olefins under palladium and rhodium catalysis. Herein, we provide a detailed report on the carboannulation of bicyclic hydrazines with 2-iodobenzonitrile, 2-cyanophenylboronic acid and 2-formylphenylboronic acid leading to the synthesis of highly functionalized indanones and indanols.

## 2. Results and discussion

### 2.1. Palladium catalyzed cyanoannulation with 2-iodobenzonitrile

The bicyclic hydrazines selected for our studies are shown in Fig. 1. We initiated our experiments with the reaction of 2,3-diazabicyclo[2.2.1]heptene **1a** with 2-iodobenzonitrile **3** in the presence of Pd(OAc)<sub>2</sub> as catalyst, PPh<sub>3</sub> as ligand and Et<sub>3</sub>N as base in 9:1 mixture of CH<sub>3</sub>CN/H<sub>2</sub>O (Scheme 1). The reaction afforded substituted indanone **4a** in 40% yield. The structure of the product was assigned with the help of various spectroscopic techniques.



Scheme 1. Palladium catalyzed annulation of azabicyclic olefin.

Detailed optimization studies were carried out to find the best condition for this transformation (Table 1). Among the different catalysts tried (Table 1, entries 1, 13–15), Pd<sub>2</sub>(dba)<sub>3</sub>·CHCl<sub>3</sub>/PPh<sub>3</sub> was found to be the best catalyst system (entry 14). The next variable examined was the solvent (entries 1–5). A 9:1 mixture of CH<sub>3</sub>CN/H<sub>2</sub>O gave the best yield of the product compared to toluene, THF and MeOH. The next task was to find out the best base for the reaction. A series of organic and inorganic bases were examined (entries 1, 7–12) and Et<sub>3</sub>N was found to furnish the highest yield (entry 1). On the basis of these investigations, the optimal conditions for this reaction are as follows: 1:1 mixture of iodobenzonitrile/olefin with 10 mol % Pd<sub>2</sub>(dba)<sub>3</sub>·CHCl<sub>3</sub>, 10 mol % PPh<sub>3</sub>, 2.0 equiv of Et<sub>3</sub>N and 2 mL of CH<sub>3</sub>CN/H<sub>2</sub>O (9:1) for 24 h (entry 14).

The scope of the reaction was then explored under optimized conditions. In all the cases, the azabicyclic alkene **1a–d** reacted with 2-iodobenzonitrile **3** leading to corresponding carboannulated products in good yields and the results are summarized in Table 2. In the case of tricyclic substrates, the reaction did not take place because of the low stability of the starting material under basic condition.<sup>11h</sup>

On the basis of previous work on bicyclic annulation chemistry,<sup>18</sup> we propose the following mechanism for the formation of

Table 1  
Optimization studies for the annulation reaction

Entry	Catalyst	Solvent	Base	Ligand	Yield (%) <sup>a</sup>
1	Pd(OAc) <sub>2</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	PPh <sub>3</sub>	40
2	Pd(OAc) <sub>2</sub>	DMF/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	PPh <sub>3</sub>	31
3	Pd(OAc) <sub>2</sub>	Toluene/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	PPh <sub>3</sub>	17
4	Pd(OAc) <sub>2</sub>	Dioxane/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	PPh <sub>3</sub>	11
5	Pd(OAc) <sub>2</sub>	THF	Et <sub>3</sub> N	PPh <sub>3</sub>	No reaction
6	Pd(OAc) <sub>2</sub>	MeOH	Et <sub>3</sub> N	PPh <sub>3</sub>	No reaction
7	Pd(OAc) <sub>2</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	K <sub>2</sub> CO <sub>3</sub>	PPh <sub>3</sub>	No reaction
8	Pd(OAc) <sub>2</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	CS <sub>2</sub> CO <sub>3</sub>	PPh <sub>3</sub>	No reaction
9	Pd(OAc) <sub>2</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	NaOAc	PPh <sub>3</sub>	No reaction
10	Pd(OAc) <sub>2</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	DIEA	PPh <sub>3</sub>	38
11	Pd(OAc) <sub>2</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	<sup>t</sup> BuNH <sub>2</sub>	PPh <sub>3</sub>	Trace
12	Pd(OAc) <sub>2</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	Pyridine	PPh <sub>3</sub>	17
13	PdCl <sub>2</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	PPh <sub>3</sub>	No reaction
14	<i>Pd<sub>2</sub>(dba)<sub>3</sub>·CHCl<sub>3</sub></i>	<i>CH<sub>3</sub>CN/H<sub>2</sub>O (9:1)</i>	<i>Et<sub>3</sub>N</i>	<i>PPh<sub>3</sub></i>	68
15	Pd(PPh <sub>3</sub> ) <sub>4</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	PPh <sub>3</sub>	Trace
16	Pd <sub>2</sub> (dba) <sub>3</sub> ·CHCl <sub>3</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	—	60
17	Pd <sub>2</sub> (dba) <sub>3</sub> ·CHCl <sub>3</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	dppe	64
18	Pd <sub>2</sub> (dba) <sub>3</sub> ·CHCl <sub>3</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	PPh <sub>3</sub> Bu <sub>4</sub> NCl	25
19	Pd <sub>2</sub> (dba) <sub>3</sub> ·CHCl <sub>3</sub>	CH <sub>3</sub> CN/H <sub>2</sub> O (9:1)	Et <sub>3</sub> N	PPh <sub>3</sub> , LiCl	20

Reaction conditions: Alkene (1.0 equiv) **3** (1.0 equiv), Catalyst (10 mol %), Base (2.0 equiv), Ligand (10 mol %), Solvent (2 mL), 80 °C, 24 h. The condition in italics denotes the optimized conditions for the relevant reactions.

<sup>a</sup> Isolated yield.

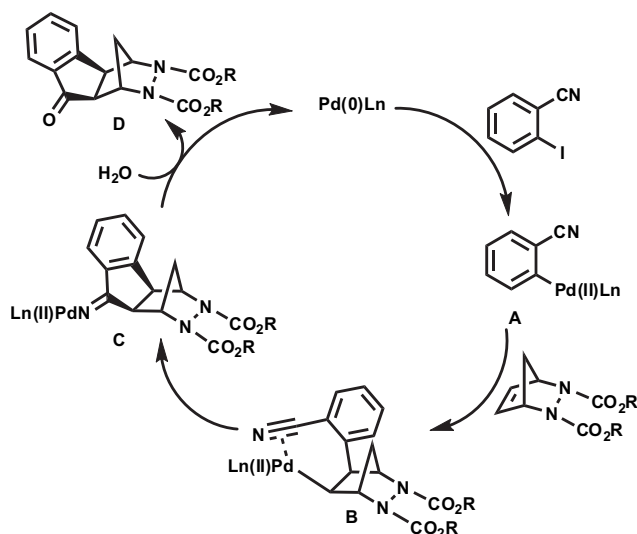
Table 2  
Generality of the palladium catalyzed annulation reaction

Entry	Alkene	Product	Yield (%) <sup>a</sup>
1	<b>1a</b>	<b>4a</b>	68
2	<b>1b</b>	<b>4b</b>	69
3	<b>1c</b>	<b>4c</b>	61
4	<b>1d</b>	<b>4d</b>	66

Reaction conditions: Alkene (1.0 equiv), **3** (1.0 equiv), Pd<sub>2</sub>(dba)<sub>3</sub>·CHCl<sub>3</sub> (10mol%), PPh<sub>3</sub> (10mol%), Et<sub>3</sub>N (2.0 equiv), CH<sub>3</sub>CN/H<sub>2</sub>O (2 mL), 80 °C, 24 h.

<sup>a</sup> Isolated yield.

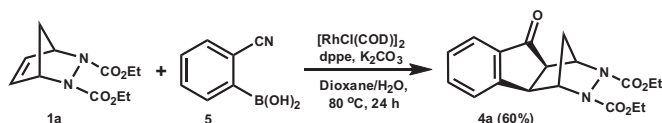
indanone. The first step of the catalytic cycle involves oxidative addition of aryl halide to form Ar–PdL<sub>2</sub>–X and subsequent coordination to the alkene. Next step is the carbopalladation, which is followed by hydration to form indanone (Scheme 2).



Scheme 2. Proposed mechanism of the reaction.

## 2.2. Rhodium catalyzed cyanoannulation with 2-cyanophenylboronic acid and 2-formylphenylboronic acid

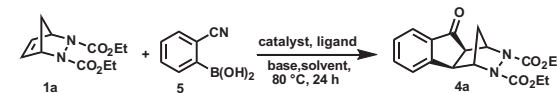
2-Cyanophenylboronic acid and 2-formylphenylboronic acid are attractive molecules due to the presence of both nucleophilic and electrophilic centres in them. The nucleophilic carbon–boron linkage can be transmetalated to an organorhodium(I) species and the electrophilic cyano/formyl group can act as an acceptor for an organorhodium(I) species.<sup>19</sup> Murakami and co-workers reported the rhodium catalyzed annulation reactions of 2-cyanophenylboronic acid and 2-formylphenylboronic acid with alkynes and strained alkenes.<sup>20,21</sup> Inspired by this work, we checked the reactivity of these ambiphilic boronic acids towards azabicyclic and tricyclic olefins under rhodium catalyzed conditions. Initially, we carried out the reaction of 2-cyanophenylboronic acid **5** with bicyclic olefin **1a** in presence of catalyst  $[\text{RhCl}(\text{COD})]_2$ , ligand dppe and base  $\text{K}_2\text{CO}_3$  in (9:1) dioxane/ $\text{H}_2\text{O}$  at 80 °C under argon atmosphere (Scheme 3). The reaction afforded the product **4a** in 60% yield.



Scheme 3. Rhodium catalyzed annulation of azabicyclic olefin.

On the basis of this preliminary result, we set out to investigate the best conditions for the reaction to occur. The parameters screened were rhodium catalysts, ligands, bases and solvents. A number of rhodium catalysts and ligands were surveyed for the reaction of azabicyclic alkene **1a** and 2-cyanophenylboronic acid **5**, among which  $[\text{RhCl}(\text{COD})]_2/\text{BINAP}$  gave the highest yield of 74% for the indanone derivative **4a**. Among the bases examined,  $\text{Cs}_2\text{CO}_3$  gave the best yield:  $\text{K}_2\text{CO}_3$  (68%),  $\text{KF}$  (63%). While a number of solvent systems were studied, 9:1 dioxane/ $\text{H}_2\text{O}$  was found to be the best solvent for the transformation. Table 3 describes our efforts towards optimizing various reaction parameters.

Table 3  
Optimization studies for the annulation reaction



Entry	Catalyst	Solvent	Base	Ligand	Yield (%) <sup>a</sup>
1	$[\text{RhCl}(\text{COD})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{K}_2\text{CO}_3$	dppe	60
2	$[\text{RhCl}(\text{COD})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{K}_2\text{CO}_3$	dppe	62
3	$[\text{RhCl}(\text{COD})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{K}_2\text{CO}_3$	dppe	64
4	$[\text{RhCl}(\text{COD})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{K}_2\text{CO}_3$	dppe	65
5	$[\text{RhCl}(\text{COD})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{K}_2\text{CO}_3$	Monophos	62
6	$[\text{RhCl}(\text{COD})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{KF}$	BINAP	63
7	$[\text{RhCl}(\text{COD})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{Cs}_2\text{CO}_3$	BINAP	74
8	$[\text{RhCl}(\text{COD})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{K}_2\text{CO}_3$	BINAP	68
9	$[\text{RhCl}(\text{COE})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{Cs}_2\text{CO}_3$	BINAP	21
10	$[\text{Rh}(\text{acac})(\text{COD})]$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{Cs}_2\text{CO}_3$	BINAP	15
11	$[\text{Rh}(\text{OH})(\text{COD})]_2$	Dioxane/ $\text{H}_2\text{O}$ (9:1)	$\text{Cs}_2\text{CO}_3$	BINAP	35
12	$[\text{RhCl}(\text{COD})]_2$	Toluene/ $\text{H}_2\text{O}$ (9:1)	$\text{Cs}_2\text{CO}_3$	BINAP	33
13	$[\text{RhCl}(\text{COD})]_2$	$\text{THF}/\text{H}_2\text{O}$ (9:1)	$\text{Cs}_2\text{CO}_3$	BINAP	8
14	$[\text{RhCl}(\text{COD})]_2$	Methanol	$\text{Cs}_2\text{CO}_3$	BINAP	16

Reaction conditions: Alkene (1.0 equiv), **5** (1.0 equiv), Catalyst (5 mol %), Base (2.0 equiv), Ligand (10 mol %), Solvent (2 mL), 80 °C, 24 h. The condition in italics denotes the optimized conditions for the relevant reactions.

<sup>a</sup> Isolated yield.

The scope of this reaction was then investigated under optimized conditions [alkene (1.0 equiv), 2-cyanophenylboronic acid (1.0 equiv),  $[\text{RhCl}(\text{COD})]_2$  (5 mol %), BINAP (10 mol %),  $\text{Cs}_2\text{CO}_3$  (2.0 equiv) in (9:1) dioxane/ $\text{H}_2\text{O}$  at 80 °C for 24 h]. In all the cases, the azabicyclic alkene **1a–d** reacted with 2-cyanophenylboronic acid **5** affording the corresponding functionalized indanones **4a–d** in good to excellent yields. The reaction was also extended to sterically more

hindered tricyclic adducts **2a–f** derived from cyclopentadiene and urazole.<sup>10b</sup> The results are summarized in Table 4.

The product **4e** was crystallized from dichloromethane and the structure and stereochemistry of the product was unambiguously confirmed by single crystal X-ray analysis of **4e** (Fig. 2).<sup>22</sup>

Table 4  
Generality of the rhodium catalyzed annulation reaction

Entry	Alkene	Product	Yield (%) <sup>a</sup>
1	<b>1a</b>	<b>4a</b>	74
2	<b>1b</b>	<b>4b</b>	67
3	<b>1c</b>	<b>4c</b>	90
4	<b>1d</b>	<b>4d</b>	78
5	<b>2a</b>	<b>4e</b>	70
6	<b>2b</b>	<b>4f</b>	78
7	<b>2c</b>	<b>4g</b>	96
8	<b>2d</b>	<b>4h</b>	80
9	<b>2e</b>	<b>4i</b>	77
10	<b>2f</b>	<b>4j</b>	83

Reaction conditions: Alkene (1.0 equiv), **5** (1.0 equiv),  $[\text{RhCl}(\text{COD})]_2$  (5 mol %), BINAP (10 mol %),  $\text{Cs}_2\text{CO}_3$  (2.0 equiv), 9:1 Dioxane/ $\text{H}_2\text{O}$  (2 mL), 80 °C, 24 h.

<sup>a</sup> Isolated yield.

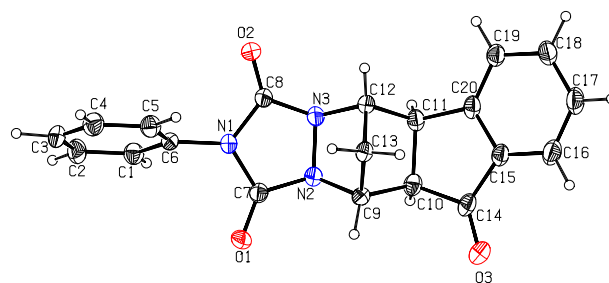
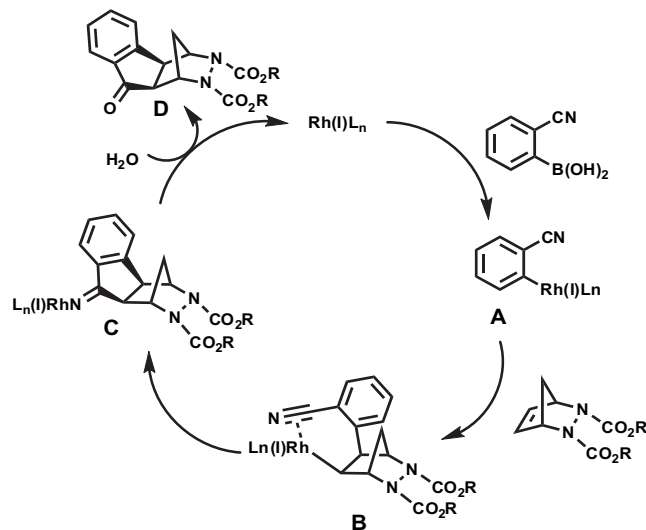


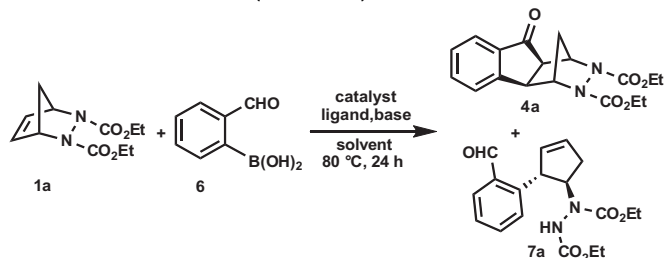
Fig. 2. ORTEP diagram of annulated product **4e**.

Based on these results, we propose a plausible mechanism for the annulation reaction.<sup>20</sup> The first step of the catalytic cycle involves transmetalation of 2-cyanophenylboronic acid to Rh(I) species to generate the intermediate **A**, which then undergoes 'syn' addition to the alkene double bond through the 'exo' phase resulting in the formation of intermediate **B**. Coordination of Rh(I) Ln to the electrophilic cyano group occurs, which is followed by intramolecular addition to generate the intermediate **C**. Hydrolysis of the imine bond results in the formation of the annulated product and regeneration of the Rh(I) species to continue the catalytic cycle (Scheme 4).



Scheme 4. Plausible mechanism for the annulation reaction.

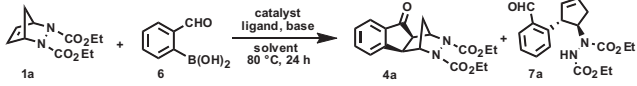
We then turned our attention towards the reaction of olefins with 2-formylphenylboronic acid and accordingly the bicyclic alkene **1a** was treated with 2-formylphenylboronic acid **6** in the presence of rhodium catalyst  $[\text{RhCl}(\text{COD})]_2$ , ligand BINAP and base  $\text{K}_2\text{CO}_3$ , in  $\text{CH}_3\text{CN}$  at  $80^\circ\text{C}$ . The reaction afforded a mixture of 3,4-disubstituted cyclopentene **7** along with the functionally substituted indanone **4a** (Scheme 5).



Scheme 5. Rhodium catalyzed annulation of azabicyclic olefin.

In order to develop conditions suitable for this transformation we surveyed a variety of rhodium catalysts, ligands, bases, solvents, and temperatures and optimization reactions are summarized in Table 5. Temperature showed a dramatic effect in the cyclization process. No cyclization product was observed when bicyclic alkene **1a** was treated with 2-formylphenylboronic acid **6** in the presence of rhodium catalyst ligated with nonchiral ligands at room temperature. The effects of different phosphine ligands and different bases were also studied. Best results were obtained using chiral bidentate ligands (entries 4, 5). Among the bases examined,  $\text{K}_2\text{CO}_3$  gave the best results. Other bases, such as  $\text{Cs}_2\text{CO}_3$ ,  $\text{KOH}$ ,  $\text{Et}_3\text{N}$ ,  $\text{NaOAc}$  etc. have also been employed in this reaction but none of them gave a better yield than  $\text{K}_2\text{CO}_3$ . From the optimization studies carried out for rhodium catalysts, we found that  $[\text{Rh}(\text{OH})(\text{COD})]_2$  also gave products in good yield. Using  $[\text{RhCl}(\text{COD})]_2$  as catalyst and Monophos as ligand, the effect of different solvents were studied, among which  $\text{CH}_3\text{CN}$  was found to be the best. On the basis of the above results, the following reaction condition has been chosen as the standard reaction condition: 1.0 equiv of 2-formylphenylboronic acid, 1.25 equiv of bicyclic alkene, 5 mol % of  $[\text{RhCl}(\text{COD})]_2$ , 10 mol % of Monophos and 1.5 equiv of  $\text{K}_2\text{CO}_3$ , in 2 mL of  $\text{CH}_3\text{CN}$  at  $80^\circ\text{C}$  (Table 5).

Table 5  
Optimization studies for the annulation reaction



Entry	Catalyst	Solvent	Base	Ligand	Yields (%) <sup>a</sup>	
					4a	7a
1	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	$\text{K}_2\text{CO}_3$	dppe	0	15
2	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	$\text{K}_2\text{CO}_3$	dppm	0	16
3	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	$\text{K}_2\text{CO}_3$	$\text{PPh}_3$	0	23
4	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	$\text{K}_2\text{CO}_3$	BINAP	40	22
5	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	$\text{K}_2\text{CO}_3$	Monophos	49	38
6	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	KF	Monophos	23	25
7	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	$\text{Cs}_2\text{CO}_3$	Monophos	43	32
8	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	KOH	Monophos	21	29
9	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	$\text{NaOAc}$	Monophos	12	10
10	$[\text{RhCl}(\text{COD})]_2$	$\text{CH}_3\text{CN}$	$\text{Et}_3\text{N}$	Monophos	21	35
11	$[\text{RhCl}(\text{COE})_2]_2$	$\text{CH}_3\text{CN}$	$\text{K}_2\text{CO}_3$	Monophos	Trace	Trace
12	$[\text{Rh}(\text{acac})(\text{COD})]$	$\text{CH}_3\text{CN}$	$\text{K}_2\text{CO}_3$	Monophos	15	14
13	$[\text{Rh}(\text{OH})(\text{COD})]_2$	$\text{CH}_3\text{CN}$	$\text{K}_2\text{CO}_3$	Monophos	49	24
14	$[\text{RhCl}(\text{COD})]_2$	Toluene	$\text{K}_2\text{CO}_3$	Monophos	21	17
15	$[\text{RhCl}(\text{COD})]_2$	THF	$\text{K}_2\text{CO}_3$	Monophos	8	27
16	$[\text{RhCl}(\text{COD})]_2$	Methanol	$\text{K}_2\text{CO}_3$	Monophos	16	44
17	$[\text{RhCl}(\text{COD})]_2$	DMF	$\text{K}_2\text{CO}_3$	Monophos	28	24

Reaction conditions: Alkene (1.25 equiv), **6** (1.0 equiv), Catalyst (5 mol %), Ligand (10 mol %), Base (1.5 equiv), Solvent (2 mL),  $80^\circ\text{C}$ , 24 h. The condition in *italics* denotes the optimized conditions for the relevant reactions.

<sup>a</sup> Isolated yield.

Various bicyclic alkenes **1a–d** and tricyclic alkenes **2a**, **2b** and **2d** were treated with 2-formylphenylboronic acid **6** under the optimized conditions. All the attempts resulted in the formation of functionally substituted indanones as the major product and 3,4-disubstituted cyclopentene as the minor product (Table 6).

Table 6  
Generality of the rhodium catalyzed annulation reaction

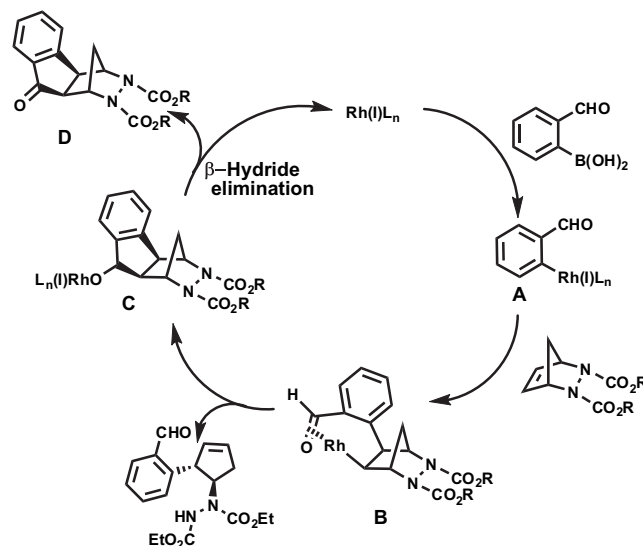
Entry	Alkene	Products		Yields (%) <sup>a</sup>	
		4	7	4	7
1	<b>1a</b>	<b>4a</b>	<b>7a</b>	49	38
2	<b>1b</b>	<b>4b</b>	<b>7b</b>	47	40
3	<b>1c</b>	<b>4c</b>	<b>7c</b>	45	41
4	<b>1d</b>	<b>4d</b>	<b>7d</b>	45	30
5	<b>2a</b>	<b>4e</b>	<b>7e</b>	37	31
6	<b>2b</b>	<b>4f</b>	<b>7f</b>	36	27
7	<b>2d</b>	<b>4h</b>	<b>7g</b>	39	25

Reaction conditions: Alkene (1.25 equiv), **6** (1.0 equiv),  $[\text{RhCl}(\text{COD})]_2$  (5 mol %), Monophos (10 mol %),  $\text{K}_2\text{CO}_3$  (1.5 equiv),  $\text{CH}_3\text{CN}$  (2 mL),  $80^\circ\text{C}$ , 24 h.

<sup>a</sup> Isolated yield.

The products were characterized on the basis of their spectral data. The structure and stereochemistry were unambiguously supported by an X-ray analysis (Fig. 2).<sup>22</sup>

The mechanism shown in Scheme 6 is proposed for this annulation process. The mechanism may involve two stages, the initial one being the ring opening of bicyclic alkene.<sup>11e,i</sup> The first step of the catalytic cycle involves transmetalation of 2-formylphenylboronic acid to  $\text{Rh}(\text{I})$  species to generate the intermediate **A**, which then undergoes 'syn' addition to the alkene double bond through the 'exo' phase and results in the formation of intermediate **B**. Coordination of  $\text{Rh}(\text{I})\text{Ln}$  to the electrophilic aldehydic group occurs, which is followed by intramolecular addition to generate the intermediate **C**.  $\beta$ -Hydride elimination results in the formation of the annulated product and regeneration of the  $\text{Rh}(\text{I})$  species to continue the catalytic cycle.<sup>23</sup>  $\beta$ -elimination facilitated by the ligands could lead to the formation of the ring opened 3,4-disubstituted cyclopentene.<sup>11e,i</sup>



Scheme 6. Plausible mechanism for the annulation reaction.

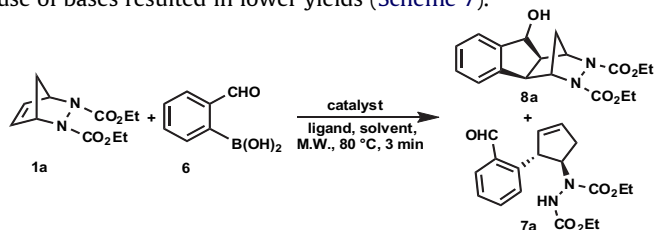
### 2.3. Palladium catalyzed carbonylative cyclization with 2-formylphenylboronic acid

We were then interested in checking the reactivity of 2-formylphenylboronic acid towards bicyclic and tricyclic alkenes under Pd catalyzed conditions. Our initial studies focused on the palladium catalyzed carbonylation of azabicyclic alkenes employing the 2-formylphenylboronic acid **6** in the presence of palladium catalyst



$\text{Pd}(\text{OAc})_2$ , ligand *dppe*, in MeOH solvent at room temperature, and the reaction afforded the 3,4-disubstituted cyclopentene **7** in good yields.

No cyclization product was observed when bicyclic alkene **1a** was treated with 2-formylphenylboronic acid **6** in the presence of palladium catalyst at room temperature. When the solvent was changed from MeOH to  $\text{CH}_3\text{CN}/\text{H}_2\text{O}$  (9:1) was found to be the best for the formation of annulation product. The catalysts screened were  $\text{Pd}(\text{OAc})_2$ ,  $[\text{Pd}(\text{allyl})\text{Cl}]_2$ ,  $\text{PdCl}_2$ ,  $\text{PdCl}_2(\text{PPh}_3)_2$ ,  $\text{Pd}_2\text{dba}_3 \cdot \text{CHCl}_3$  and  $\text{Pd}(\text{PPh}_3)_4$ , from which  $\text{Pd}(\text{OAc})_2$  gave the highest yield. Among the palladium catalysts that were examined  $\text{Pd}(\text{PPh}_3)_4$  and  $\text{PdCl}_2(\text{PPh}_3)_2$  were found to be ineffective. Among the various ligands screened, *dppe* gave the highest yield. Finally we studied the effect of bases in this reaction and found that use of bases resulted in lower yields (Scheme 7).



Scheme 7. Palladium catalyzed annulation of azabicyclic olefin.

The low yields of the products in the carboannulation of diazabicyclic alkenes with 2-formylphenylboronic acid in conventional heating prompted us to conduct the reaction using microwave heating. The key advantage of the microwave heating process over conventional heating method is the nature of fast internal heating by microwave irradiation. Microwave energy deposition in the dielectric loss mode of heating can cause spatially uniform heating and help to reduce the reaction time drastically.<sup>24</sup> The reaction under microwave condition gave the expected indanol in 76% yield. Thus optimized conditions for the reaction was found to be a 1:1 mixture of 2-formylphenylboronic acid/olefin with 5 mol %  $\text{Pd}(\text{OAc})_2$ , 10 mol % *dppe* and 2 mL of  $\text{CH}_3\text{CN}/\text{H}_2\text{O}$  solvent (9:1) at 80 °C under microwave heating for 3 min (Table 7).

Table 7  
Optimization studies for the annulation reaction

Entry	Catalyst	Solvent, Temp	Ligand	Yields (%) <sup>a</sup>	
				8a	7a
1	$\text{Pd}(\text{OAc})_2$	MeOH, rt	<i>dppe</i>	0	57
2	$\text{Pd}(\text{OAc})_2$	MeOH, 60 °C	<i>dppe</i>	0	67
3	$\text{Pd}(\text{OAc})_2$	THF, 60 °C	<i>dppe</i>	11	47
4	$\text{Pd}(\text{OAc})_2$	$\text{CH}_3\text{CN}$ , 60 °C	<i>dppe</i>	40	23
5	$\text{Pd}(\text{OAc})_2$	$\text{CH}_3\text{CN}$ , 80 °C	<i>dppe</i>	49	17
6	$\text{Pd}(\text{OAc})_2$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 80 °C	<i>dppe</i>	55	18
7	$\text{Pd}(\text{OAc})_2$	Toluene	<i>dppe</i>	0	10
8	$\text{Pd}(\text{OAc})_2$	DMF	<i>dppe</i>	trace	27
9	$\text{Pd}_2(\text{dba})_3 \cdot \text{CHCl}_3$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 80 °C	<i>dppe</i>	45	14
10	$\text{PdCl}_2$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 80 °C	<i>dppe</i>	25	8
11	$[\text{Pd}(\text{allyl})\text{Cl}]_2$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 80 °C	<i>dppe</i>	32	13
12	$\text{PdCl}_2(\text{PPh}_3)_2$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 80 °C	<i>dppe</i>	0	0
13	$\text{Pd}(\text{PPh}_3)_4$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 80 °C	<i>dppe</i>	0	0
14	$\text{Pd}(\text{OAc})_2$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 80 °C	<i>dppf</i>	45	17
15	$\text{Pd}(\text{OAc})_2$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 80 °C	$\text{PPh}_3$	42	16
16	$\text{Pd}(\text{OAc})_2$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 80 °C	$\text{P}(\text{o-tolyl Ph})_3$	43	15
17	$\text{Pd}(\text{OAc})_2$	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , M.W., 80 °C	<i>dppe</i>	76	7

Reaction conditions: Alkene (1.0 equiv), **6** (1.0 equiv), Catalyst (5 mol %), Ligand (10 mol %), Solvent (2 mL), M.W., 80 °C, 3 min. The condition in italics denotes the optimized conditions for the relevant reactions.

<sup>a</sup> Isolated yield.

Similar reactivity was observed with other bicyclic alkenes and the results obtained are summarized in Table 8. The products were characterized on the basis of their spectral data. The tricyclic olefins derived from triazolinodione and cyclopentadiene gave exclusively the 3,4-disubstituted cyclopentene in good yields.

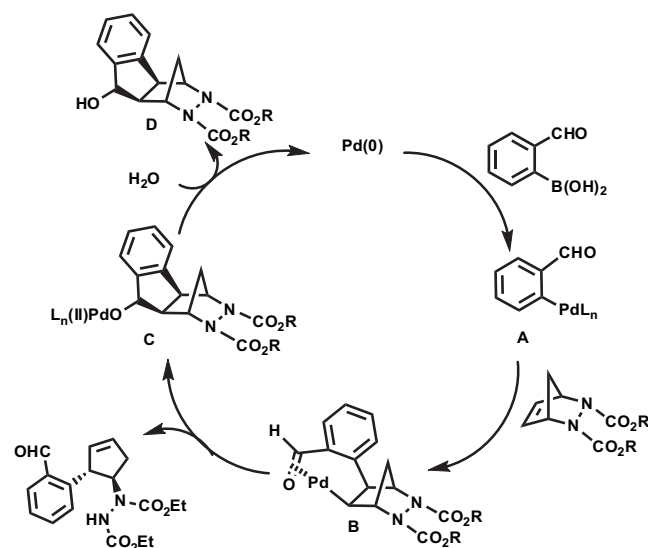
Table 8  
Generality of the palladium catalyzed annulation reaction

Entry	Alkene	Products		Yields (%) <sup>a</sup>	
		8	7	8	7
1	<b>1a</b>	<b>8a</b>	<b>7a</b>	76	7
2	<b>1b</b>	<b>8b</b>	<b>7b</b>	79	9
3	<b>1c</b>	<b>8c</b>	<b>7c</b>	77	11
4	<b>1d</b>	<b>8d</b>	<b>7d</b>	83	8
5	<b>2a</b>	—	<b>7e</b>	—	87
6	<b>2b</b>	—	<b>7f</b>	—	85
7	<b>2d</b>	—	<b>7g</b>	—	90

Reaction conditions: Alkene (1.0 equiv), **6** (1.0 equiv),  $\text{Pd}(\text{OAc})_2$  (5 mol %), *dppe* (10 mol %),  $\text{CH}_3\text{CN}/\text{H}_2\text{O}$  (2 mL) (9:1), M.W., 80 °C, 3 min.

<sup>a</sup> Isolated yield.

The mechanism shown in Scheme 8 is proposed for this annulation process. The mechanism may involve two stages, the initial one being the ring opening of bicyclic alkene.<sup>12d</sup> The first step of the catalytic cycle involves transmetalation of 2-formylphenylboronic acid to  $\text{PdL}_2$  giving  $\text{ArPdL}$  to generate the intermediate **A**. **A** then undergoes 'syn' addition to the alkene double bond through the 'exo' phase and results in the formation of intermediate **B**. Coordination of Pd to the electrophilic aldehydic group occurs, which is followed by intramolecular addition to generate the intermediate **C**. Hydrolysis in the final step results in the formation of the annulated product and regeneration of the palladium to continue the catalytic cycle.<sup>25</sup>



Scheme 8. Plausible mechanism for the annulation reaction.

1-Indanones occur as structural subunits in a number of natural products that exhibit a wide range of biological activity<sup>26</sup> and it is prominently featured in many pharmaceutical products.<sup>27–32</sup> Further synthetic transformations of functionalized indanones, such as deprotection of the ester group and cleavage of N–N bond by platinum catalyzed hydrogenation can lead to the formation of cyclopentane fused diaminoindanones of potential utility in pharmaceuticals and material science. Some of the bioactive molecules containing indanone core are shown in Fig. 3.

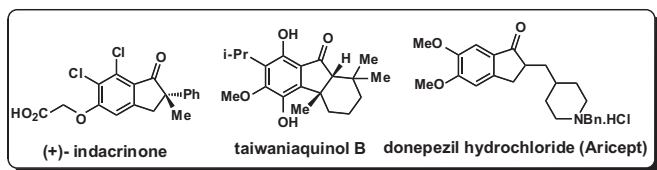


Fig. 3. Bioactive 1-indanone derivatives.

### 3. Conclusion

In conclusion, a direct and efficient transition metal catalyzed method for the synthesis of substituted indanones has been developed. The methodology assumes significance as indanones constitute the core structures of many biologically active compounds, synthetic intermediates for pharmaceuticals, ligands for olefin polymerization catalysts and discotic liquid crystals. Further work to utilize the fused indanones towards functionalized diaminoindanone derivatives are in progress and will be reported in due course.

### 4. Experimental section

#### 4.1. General

All reactions were carried out in oven dried Schlenk tube under argon atmosphere. Progress of the reaction was monitored by Thin Layer Chromatography, which was performed on Merck precoated plates (silica gel, 60 F<sub>254</sub>, 0.25 mm) and was visualized by fluorescence quenching under UV light or by staining with Enholm yellow solution. Column chromatography was done using 100–200 mesh silica gel and appropriate mixture of petroleum ether (60–80 °C) and ethyl acetate for elution. The solvents were removed using Buchi rotary evaporator. The microwave reactions were performed in a microwave synthesis reactor (Shanghai Sineo Microwave Chemistry Technology Co. Ltd., MAS-II) with temperature control, using the instrument's in-built IR sensor. The IR spectra were recorded on Bruker FT-IR spectrometer. NMR spectra were recorded on Bruker FT-NMR spectrometer using CDCl<sub>3</sub> or CDCl<sub>3</sub>–CCl<sub>4</sub> mixture (7:3) as solvent. TMS was used as an internal standard and chemical shifts are in  $\delta$ -scale. High resolution mass spectra were recorded under EI/HRMS (at 5000 resolution) using JEOL JMS 600H mass spectrometer. Abbreviations used in <sup>1</sup>H NMR are s-singlet, br s-broad singlet, d-doublet, dd-doublet of doublet, q-quartet and m-multiplet.

**4.1.1. General procedure for the palladium catalyzed carboannulation of bicyclic hydrazines with 2-iodobenzonitrile.** Bicyclic hydrazine (1.0 equiv), 2-iodobenzonitrile (1.0 equiv), Et<sub>3</sub>N (2.0 equiv), PPh<sub>3</sub> (10 mol %) and Pd<sub>2</sub>(dba)<sub>3</sub>·CHCl<sub>3</sub> (10 mol %) were taken in a Schlenk tube and degassed. The mixture was dissolved in acetonitrile/water (9:1) (2 mL) and stirred at 80 °C for 24 h under Argon atmosphere. After the completion of the reaction, the reaction mixture was diluted with dichloromethane (50 mL) and washed with water (2×25 mL) and saturated brine (25 mL) solution. The organic layer was then dried over anhydrous sodium sulfate and the solvent was evaporated in vacuo. The residue on silica gel (100–200 mesh) column chromatography using 30% ethyl acetate in hexane afforded the product in good yield.

**4.1.2. General procedure for the rhodium catalyzed carboannulation of bicyclic hydrazines with 2-cyanophenylboronic acid.** Bicyclic hydrazine (1.0 equiv), 2-cyanophenylboronic acid (1.0 equiv), [RhCl(COD)]<sub>2</sub> (5 mol %), BINAP (10 mol %), Cs<sub>2</sub>CO<sub>3</sub> (2 equiv) were charged in a Schlenk flask, degassed with argon and the mixture was dissolved in dioxane/water (9:1) (2 mL). The reaction mixture was stirred at 80 °C for 24 h. The contents were transferred to a round-bottom flask, and volatiles were removed in vacuo. The residue on

silica gel (100–200 mesh) column chromatography using 30% ethyl acetate in hexane afforded the product in good yield.

**4.1.3. General procedure for the rhodium catalyzed carboannulation of bicyclic hydrazines with 2-formylphenylboronic acid.** Bicyclic hydrazine (1.25 equiv), 2-formylphenylboronic acid (1 equiv), [RhCl(COD)]<sub>2</sub> (5 mol %), Monophos (10 mol %), K<sub>2</sub>CO<sub>3</sub> (1.5 equiv) were charged in a Schlenk flask, degassed with argon and the mixture was dissolved in acetonitrile (2 mL). The reaction mixture was stirred at 80 °C for 24 h. The contents were transferred to a round-bottom flask, and volatiles were removed in vacuo. The residue on silica gel (100–200 mesh) column chromatography using ethyl acetate in hexane afforded the products in good yield.

**4.1.4. General procedure for the palladium catalyzed carboannulation of bicyclic hydrazines with 2-formylphenylboronic acid.** Bicyclic hydrazine (1.0 equiv), 2-formylphenylboronic acid (1 equiv), Pd(OAc)<sub>2</sub> (5 mol %), dppe (10 mol %), were charged in a 10 mL glass tube, degassed with argon and the mixture was dissolved in CH<sub>3</sub>CN/H<sub>2</sub>O (9:1) (2 mL) and the vessel was placed into the microwave cavity. Microwave irradiation of 500 W was used, the temperature being ramped from room temperature to 80 °C. The reaction mixture was stirred at 80 °C for 3 min. After the mixture was allowed to cool to room temperature, the contents were transferred to a round-bottom flask, and volatiles were removed in vacuo. The residue on silica gel (100–200 mesh) column chromatography using 40% ethyl acetate in hexane afforded the products in good yield.

#### 4.1.5. Spectroscopic data for new compounds.

**4.1.5.1. Compound 4a.** Yield: 74% as pale yellow viscous liquid; *R*<sub>f</sub>: (40% EtOAc/hexane) 0.36; IR (Neat)  $\nu_{\text{max}}$ : 3016, 2997, 2926, 1711, 1606, 1466, 1325, 1255, 1169, 1040, 870, 727 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>):  $\delta$  7.72 (m, 1H), 7.76–7.59 (m, 2H), 7.43–7.38 (m, 1H), 4.69 (br s, 2H), 4.23 (br s, 4H), 3.71 (br s, 1H), 3.07 (br s, 1H), 1.48 (m, 1H), 1.38–1.11 (m, 7H); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>):  $\delta$  203.1, 157.1, 156.5, 152.8, 138.7, 135.5, 128.7, 126.4, 124.4, 62.7, 61.0, 53.4, 45.5, 32.0, 14.6; HRMS (EI): calcd for C<sub>18</sub>H<sub>20</sub>N<sub>2</sub>O<sub>5</sub> (M<sup>+</sup>): 344.1372, found: 344.1365.

**4.1.5.2. Compound 4b.** Yield: 67% as pale yellow viscous liquid; *R*<sub>f</sub>: (40% EtOAc/hexane) 0.46; IR (Neat)  $\nu_{\text{max}}$ : 3021, 2993, 2932, 1712, 1604, 1468, 1330, 1256, 1169, 1099, 855, 730 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>):  $\delta$  7.76 (d, 1H, *J*=7.5 Hz), 7.69–7.66 (m, 1H), 7.62 (s, 1H), 7.46–7.43 (m, 1H), 5.03 (br s, 2H), 4.78–4.62 (m, 2H), 3.73 (br s, 1H), 3.10 (br s, 1H), 1.49 (d, 1H, *J*=11.0 Hz), 1.32–1.25 (m, 13H); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>):  $\delta$  203.3, 157.4, 152.9, 138.7, 135.5, 128.6, 126.4, 124.3, 70.5, 62.1, 61.8, 53.5, 45.5, 31.9, 22.0; HRMS (EI): calcd for C<sub>20</sub>H<sub>24</sub>N<sub>2</sub>O<sub>5</sub> (M<sup>+</sup>): 372.1685, found: 372.1675.

**4.1.5.3. Compound 4c.** Yield: 90% as white solid; *R*<sub>f</sub>: (40% EtOAc/hexane) 0.56; mp=154 °C; IR (Neat)  $\nu_{\text{max}}$ : 3051, 2991, 2951, 1719, 1608, 1505, 1450, 1333, 1240, 1145, 966, 751 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>):  $\delta$  7.69 (d, 1H, *J*=8.0 Hz), 7.60 (t, 1H, *J*=7.5 Hz), 7.54 (br s, 1H), 7.37 (t, 1H, *J*=7.5 Hz), 4.75–4.67 (m, 1H), 4.55–4.51 (m, 1H), 3.65 (br s, 1H), 3.00 (br s, 1H), 1.53–1.40 (m, 19H), 1.12 (d, 1H, *J*=8.5 Hz); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>):  $\delta$  203.3, 157.6, 153.1, 138.7, 135.5, 128.6, 126.5, 124.2, 81.9, 62.6, 61.0, 53.0, 45.4, 31.9, 29.7, 28.2; HRMS (EI): calcd for C<sub>22</sub>H<sub>28</sub>N<sub>2</sub>O<sub>5</sub> (M<sup>+</sup>): 400.1998, found: 400.1993.

**4.1.5.4. Compound 4d.** Yield: 78% as pale yellow viscous liquid; *R*<sub>f</sub>: 0.41 (6:4 hexane/EtOAc); IR (Neat)  $\nu_{\text{max}}$ : 3032, 2952, 2919, 1719, 1603, 1474, 1314, 1248, 1129, 1091, 970, 751 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>):  $\delta$  7.76 (d, 1H, *J*=7.5 Hz), 7.66–7.65 (m, 2H), 7.46–7.28 (m, 11H), 5.26–5.24 (m, 4H), 4.77–4.70 (m, 2H), 3.69 (br s, 1H), 3.06 (br s, 1H), 1.52 (d, 1H, *J*=11.0 Hz), 1.24 (d, 1H, *J*=11.0 Hz); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>):  $\delta$  203.4, 157.1, 153.1, 138.8, 135.8, 128.6,

128.5, 128.2, 128.0, 126.5, 124.3, 62.3, 61.3, 53.3, 45.4, 43.6, 32.0; HRMS (EI): calcd for  $C_{28}H_{24}N_2O_5$  ( $M^+$ ): 468.1685, found: 468.1689.

**4.1.5.5. Compound 4e.** Yield: 70% as white solid;  $R_f$ : (40% EtOAc/hexane) 0.33; mp=256 °C; IR (Neat)  $\nu_{max}$ : 3030, 2998, 2928, 1781, 1710, 1606, 1505, 1423, 1286, 1137, 1091, 852, 758  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  7.83 (d, 1H,  $J=7.5$  Hz), 7.74 (d, 1H,  $J=7.0$  Hz), 7.68 (d, 1H,  $J=7.5$  Hz), 7.51 (br s, 5H), 7.41 (br s, 1H), 4.95 (s, 1H), 4.84 (s, 1H), 3.96 (d, 1H,  $J=5.5$  Hz), 3.32 (d, 1H,  $J=5.5$  Hz), 1.77 (d, 1H,  $J=11.5$  Hz), 1.49 (d, 1H,  $J=12.0$  Hz);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  201.7, 157.0, 156.5, 151.7, 138.5, 135.9, 131.4, 129.2, 128.4, 126.4, 125.3, 124.7, 62.7, 60.8, 53.2, 46.0, 33.1; HRMS (EI): calcd for  $C_{20}H_{15}N_3O_3$  ( $M^+$ ): 345.1113, found: 345.1111.

**4.1.5.6. Compound 4f.** Yield: 78% as pale yellow viscous liquid;  $R_f$ : (40% EtOAc/hexane) 0.38; IR (Neat)  $\nu_{max}$ : 3033, 2980, 2945, 1780, 1711, 1604, 1514, 1420, 1270, 1124, 1036, 922, 728  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  7.79 (d, 1H,  $J=7.5$  Hz), 7.71–7.70 (m, 1H), 7.63–7.61 (m, 1H), 7.50–7.47 (m, 1H), 7.42–7.40 (m, 1H), 7.35–7.29 (m, 4H), 4.81 (s, 1H), 4.70 (s, 1H), 4.69 (s, 2H), 3.76 (d, 1H,  $J=6.5$  Hz), 3.15 (d, 1H,  $J=6.5$  Hz), 1.59 (d, 1H,  $J=11.5$  Hz), 1.37 (d, 1H,  $J=11.5$  Hz);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  201.8, 158.2, 157.7, 151.8, 138.5, 135.9, 135.3, 129.1, 128.8, 128.6, 128.4, 128.2, 128.0, 126.4, 124.6, 62.4, 60.5, 53.1, 45.8, 43.5, 33.9; HRMS (EI): calcd for  $C_{21}H_{17}N_3O_3$  ( $M^+$ ): 359.1270, found: 359.1277.

**4.1.5.7. Compound 4g.** Yield: 96% as white solid;  $R_f$ : (40% EtOAc/hexane) 0.54; mp=214 °C; IR (Neat)  $\nu_{max}$ : 3011, 2988, 2939, 1780, 1712, 1604, 1518, 1440, 1288, 1132, 1096, 911, 782  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  7.71 (d, 1H,  $J=8.0$  Hz), 7.65–7.62 (m, 1H), 7.56 (d, 1H,  $J=8.0$  Hz), 7.41 (t, 1H,  $J=7.5$  Hz), 4.70 (s, 1H), 4.59 (s, 1H), 3.75–3.73 (m, 2H), 3.09 (d, 1H,  $J=6.0$  Hz), 2.06–2.03 (m, 2H), 1.78 (d, 1H,  $J=13.5$  Hz), 1.65–1.59 (m, 4H), 1.51–1.48 (m, 2H), 1.20–1.29 (m, 3H);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  202.0, 158.6, 158.1, 152.0, 138.5, 135.8, 129.0, 126.4, 124.6, 62.5, 60.5, 53.0, 52.8, 45.8, 32.4, 29.3, 25.7, 24.9; HRMS (EI): calcd for  $C_{20}H_{21}N_3O_3$  ( $M^+$ ): 351.1583, found: 351.1572.

**4.1.5.8. Compound 4h.** Yield: 80% as pale yellow viscous liquid;  $R_f$ : (40% EtOAc/hexane) 0.38; IR (Neat)  $\nu_{max}$ : 3036, 2978, 2935, 1779, 1713, 1609, 1515, 1427, 1260, 1114, 1037, 912, 726  $cm^{-1}$ ;  $^1H$  NMR (300 MHz,  $CDCl_3$ ):  $\delta$  7.77 (d, 1H,  $J=7.8$  Hz), 7.72–7.68 (m, 1H), 7.60 (d, 1H,  $J=7.5$  Hz), 7.49–7.44 (m, 1H), 7.29–7.20 (m, 2H), 7.12–7.10 (m, 2H), 4.78 (s, 1H), 4.67 (s, 1H), 4.60 (s, 2H), 3.76 (d, 1H,  $J=6.0$  Hz), 3.12 (d, 1H,  $J=6.0$  Hz), 2.31 (s, 3H), 1.56 (d, 1H,  $J=11.7$  Hz), 1.34 (d, 1H,  $J=11.7$  Hz);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  201.7, 158.1, 157.7, 151.7, 138.4, 137.7, 135.7, 132.3, 129.3, 129.2, 128.9, 128.4, 128.3, 126.3, 124.5, 62.3, 60.4, 52.9, 45.7, 43.2, 32.8, 21.1; HRMS (EI): calcd for  $C_{22}H_{19}N_3O_3$  ( $M^+$ ): 373.1426, found: 373.1422.

**4.1.5.9. Compound 4i.** Yield: 77% as pale yellow viscous liquid;  $R_f$ : (40% EtOAc/hexane) 0.26; IR (Neat)  $\nu_{max}$ : 3023, 2980, 2965, 1784, 1711, 1626, 1524, 1440, 1280, 1124, 1039, 927, 748  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  7.77 (d, 1H,  $J=7.5$  Hz), 7.71–7.68 (m, 1H), 7.61 (d, 1H,  $J=7.5$  Hz), 7.48–7.46 (m, 1H), 7.43–7.42 (m, 1H), 7.29–7.27 (m, 2H), 6.86–6.84 (m, 1H), 4.79 (s, 1H), 4.68 (s, 1H), 4.56 (s, 2H), 3.87 (s, 3H), 3.77 (d, 1H,  $J=6.5$  Hz), 3.12 (d, 1H,  $J=6.5$  Hz), 1.56 (d, 1H,  $J=12.0$  Hz), 1.36 (d, 1H,  $J=11.5$  Hz);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  201.7, 157.9, 157.5, 154.9, 151.6, 138.4, 135.8, 130.5, 129.0, 128.1, 126.3, 124.5, 122.7, 111.9, 62.3, 60.4, 55.9, 53.0, 45.8, 42.5, 32.9; HRMS (EI): calcd for  $C_{22}H_{19}N_3O_4$  ( $M^+$ ): 389.1376, found: 389.1369.

**4.1.5.10. Compound 4j.** Yield: 83% as white solid;  $R_f$ : (40% EtOAc/hexane) 0.51; mp=152 °C; IR (Neat)  $\nu_{max}$ : 3039, 2998, 2975, 1767, 1712, 1609, 1524, 1431, 1271, 1125, 1037, 931, 717  $cm^{-1}$ ;  $^1H$  NMR (300 MHz,  $CDCl_3$ ):  $\delta$  7.77 (d, 1H,  $J=7.5$  Hz), 7.70 (t, 1H,  $J=7.5$  Hz), 7.61

(d, 1H,  $J=7.5$  Hz), 7.47 (t, 1H,  $J=7.5$  Hz), 7.36–7.27 (m, 4H), 4.79 (s, 1H), 4.68 (s, 1H), 4.61 (s, 2H), 3.76 (d, 1H,  $J=6.0$  Hz), 3.12 (d, 1H,  $J=6.0$  Hz), 1.57 (d, 1H,  $J=11.7$  Hz), 1.36 (d, 1H,  $J=11.7$  Hz);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  201.5, 157.8, 157.4, 151.6, 138.4, 135.8, 134.3, 133.7, 129.9, 129.0, 128.9, 126.3, 124.5, 62.3, 60.4, 52.9, 45.7, 42.7, 32.9; HRMS (EI): calcd for  $C_{21}H_{16}ClN_3O_3$  ( $M^+$ ): 393.0880, found: 393.0871.

**4.1.5.11. Compound 7a.** Yield: 38% as pale yellow viscous liquid;  $R_f$ : (30% EtOAc/hexane) 0.27; IR (Neat)  $\nu_{max}$ : 3296, 3060, 2983, 2934, 2872, 2751, 1714, 1600, 1574, 1482, 1414, 1330, 1241, 1131, 1060, 951, 817, 762, 654  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  10.04 (s, 1H), 7.72–7.71 (m, 1H), 7.59–7.57 (m, 1H), 7.46–7.43 (m, 2H), 5.95–5.93 (m, 1H), 5.59 (s, 1H), 5.15 (s, 1H), 4.88–4.72 (m, 1H), 4.27–4.21 (m, 2H), 4.00 (br s, 1H), 3.77 (br s, 1H), 2.72–2.64 (m, 2H), 1.35–1.16 (m, 6H);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  194.7, 156.7, 155.8, 146.0, 135.3, 132.0, 131.0, 129.0, 126.9, 67.4, 62.0, 46.9, 35.0, 14.5; HRMS (EI): calcd for  $C_{18}H_{22}N_2O_5$  ( $M^+$ ): 346.1529, found: 346.1539.

**4.1.5.12. Compound 7b.** Yield: 40% as pale yellow viscous liquid;  $R_f$ : (30% EtOAc/hexane) 0.43; IR (Neat)  $\nu_{max}$ : 3298, 3061, 2982, 2936, 2748, 1714, 1601, 1574, 1469, 1386, 1242, 1181, 1108, 1041, 960, 817, 762, 658  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  10.04 (s, 1H), 7.70–7.68 (m, 1H), 7.58–7.56 (m, 1H), 7.46–7.43 (m, 1H), 7.33–7.32 (m, 1H), 5.93–5.92 (m, 1H), 5.58–5.57 (m, 1H), 5.14–5.13 (m, 1H), 5.07–5.05 (m, 2H), 4.72–4.69 (m, 1H), 2.69–2.59 (m, 2H), 1.23–1.21 (m, 12H);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  195.1, 156.5, 155.3, 146.1, 134.3, 132.0, 131.1, 129.0, 126.8, 70.0, 69.5, 67.1, 47.8, 34.9, 22.0, 21.9; HRMS (EI): calcd for  $C_{20}H_{26}N_2O_5$  ( $M^+$ ): 374.1842, found: 374.1853.

**4.1.5.13. Compound 7c.** Yield: 41% as pale yellow viscous liquid;  $R_f$ : (30% EtOAc/hexane) 0.49; IR (Neat)  $\nu_{max}$ : 3370, 3331, 3059, 2979, 2933, 2747, 1703, 1600, 1573, 1478, 1394, 1368, 1251, 1157, 1052, 953, 855, 759, 607  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  10.07 (s, 1H), 7.73–7.72 (m, 1H), 7.67–7.66 (m, 1H), 7.57–7.44 (m, 2H), 5.94–5.92 (m, 1H), 5.58–5.57 (m, 1H), 5.13–5.12 (m, 1H), 4.81–4.68 (m, 1H), 2.69–2.61 (m, 2H), 1.47 (s, 18H);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  194.4, 156.1, 155.6, 146.4, 136.1, 134.3, 133.5, 132.0, 131.1, 128.7, 126.7, 80.9, 69.1, 66.7, 47.8, 34.7, 28.2; HRMS (EI): calcd for  $C_{22}H_{30}N_2O_5$  ( $M^+$ ): 402.2155, found: 402.2159.

**4.1.5.14. Compound 7d.** Yield: 30% as pale yellow viscous liquid;  $R_f$ : (30% EtOAc/hexane) 0.41; IR (Neat)  $\nu_{max}$ : 3300, 3063, 3034, 2956, 2925, 2855, 2751, 1716, 1600, 1574, 1454, 1409, 1260, 1128, 1052, 819, 756, 698  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  9.88 (s, 1H), 7.63–7.61 (m, 2H), 7.39–7.20 (m, 12H), 5.90–5.84 (m, 1H), 5.55–5.50 (m, 1H), 5.24–5.13 (m, 5H), 4.74–4.72 (m, 1H), 2.71–2.54 (m, 2H);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  195.1, 156.4, 155.5, 145.6, 136.0, 135.8, 134.3, 130.9, 128.8, 128.4, 128.1, 127.8, 126.8, 67.6, 46.8, 35.0; HRMS (EI): calcd for  $C_{28}H_{26}N_2O_5$  ( $M^+$ ): 470.1842, found: 470.1837.

**4.1.5.15. Compound 7e.** Yield: 87% as white solid;  $R_f$ : (30% EtOAc/hexane) 0.32; mp=96 °C; IR (Neat)  $\nu_{max}$ : 3367, 3060, 2924, 2854, 1769, 1706, 1601, 1502, 1422, 1268, 1157, 1073, 956, 763, 694  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  9.97 (s, 1H), 7.71–7.70 (m, 1H), 7.57–7.54 (m, 1H), 7.46–7.35 (m, 5H), 7.31–7.26 (m, 2H), 5.94–5.92 (m, 1H), 5.62–5.61 (m, 1H), 5.29–5.27 (m, 1H), 4.62–4.57 (m, 1H), 2.71–2.68 (m, 1H), 2.62–2.60 (m, 1H);  $^{13}C$  NMR (125 MHz,  $CDCl_3$ ):  $\delta$  194.5, 153.3, 152.8, 143.2, 133.6, 130.2, 128.2, 128.1, 127.9, 127.5, 127.3, 124.6, 65.7, 45.8, 33.2; HRMS (EI): calcd for  $C_{20}H_{17}N_3O_3$  ( $M^+$ ): 347.1270, found: 347.1277.

**4.1.5.16. Compound 7f.** Yield: 85% as white solid;  $R_f$ : (30% EtOAc/hexane) 0.24; mp=88 °C; IR (Neat)  $\nu_{max}$ : 3353, 3064, 2927, 2856, 1767, 1703, 1600, 1452, 1418, 1357, 1261, 1169, 1075, 820, 763, 700  $cm^{-1}$ ;  $^1H$  NMR (500 MHz,  $CDCl_3$ ):  $\delta$  9.95 (s, 1H), 7.73–7.71 (m, 1H), 7.60–7.57 (m, 1H), 7.48–7.42 (m, 2H), 7.37–7.33 (m, 2H),

7.31–7.24 (m, 3H), 5.95–5.94 (m, 1H), 5.64–5.63 (m, 1H), 5.26–5.25 (m, 1H), 4.65–4.54 (m, 3H), 2.71–2.66 (m, 1H), 2.58–2.57 (m, 1H);  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ):  $\delta$  195.1, 155.2, 155.1, 144.2, 135.4, 134.4, 133.5, 132.4, 130.5, 129.4, 128.9, 128.8, 128.7, 128.6, 128.3, 127.9, 126.9, 125.6, 66.5, 46.6, 42.9, 33.8; HRMS (EI): calcd for  $\text{C}_{21}\text{H}_{19}\text{N}_3\text{O}_3$  ( $\text{M}^+$ ): 361.1426, found: 361.1421.

**4.1.5.17. Compound 7g.** Yield: 90% as white solid;  $R_f$ : (30% EtOAc/hexane) 0.24; mp=94 °C; IR (Neat)  $\nu_{\text{max}}$ : 3361, 3061, 2925, 2848, 1767, 1703, 1601, 1515, 1453, 1356, 1242, 1106, 1039, 870, 767, 647  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ ):  $\delta$  9.89 (s, 1H), 7.67–7.65 (m, 1H), 7.54–7.51 (m, 1H), 7.43–7.37 (m, 2H), 7.26–7.17 (m, 2H), 7.04–7.02 (m, 2H), 5.89–5.87 (m, 1H), 5.58–5.56 (m, 1H), 5.20–5.18 (m, 1H), 4.57–4.47 (m, 3H), 2.62–2.57 (m, 1H), 2.51–2.49 (m, 1H), 2.23 (s, 3H);  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ):  $\delta$  195.7, 155.5, 155.4, 144.3, 137.7, 136.6, 134.6, 133.5, 132.5, 130.4, 129.4, 128.6, 127.6, 67.2, 46.4, 42.9, 33.5, 21.1; HRMS (EI): calcd for  $\text{C}_{22}\text{H}_{21}\text{N}_3\text{O}_3$  ( $\text{M}^+$ ): 375.1583, found: 375.1581.

**4.1.5.18. Compound 8a.** Yield: 76% as pale yellow viscous liquid;  $R_f$ : (50% EtOAc/hexane) 0.34; IR (Neat)  $\nu_{\text{max}}$ : 3458, 2982, 2934, 1709, 1463, 1403, 1375, 1324, 1256, 1171, 1132, 1104, 1040, 947, 870, 760, 734  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ ):  $\delta$  7.41 (d, 1H,  $J=7.0$  Hz), 7.31–7.25 (m, 3H), 4.81 (br s, 1H), 4.59–4.52 (m, 2H), 4.23–4.09 (m, 4H), 3.73 (br s, 1H), 3.13 (br s, 1H), 2.75 (br s, 1H), 1.47 (d, 1H,  $J=9.5$  Hz), 1.37–1.25 (m, 6H), 1.15 (d, 1H,  $J=8.0$  Hz);  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ):  $\delta$  157.6, 145.2, 141.7, 129.3, 128.7, 128.2, 125.7, 62.5, 51.2, 32.5, 14.5; HRMS (EI): calcd for  $\text{C}_{18}\text{H}_{22}\text{N}_2\text{O}_5$  ( $\text{M}^+$ ): 346.1529, found: 346.1523.

**4.1.5.19. Compound 8b.** Yield: 79% as pale yellow viscous liquid;  $R_f$ : (50% EtOAc/hexane) 0.43; IR (Neat)  $\nu_{\text{max}}$ : 3026, 2990, 2937, 1712, 1466, 1339, 1256, 1174, 1069, 865, 731  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ ):  $\delta$  7.41 (d, 1H,  $J=7.0$  Hz), 7.30–7.27 (m, 3H), 4.97 (br s, 2H), 4.81 (br s, 1H), 4.71–4.43 (m, 2H), 3.73 (br s, 1H), 2.78 (br s, 1H), 1.44–1.38 (m, 1H), 1.30–1.20 (m, 12H), 1.19–1.44 (m, 1H);  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ):  $\delta$  157.5, 145.1, 141.7, 129.3, 128.2, 125.6, 124.7, 70.2, 63.2, 53.3, 32.3, 21.9; HRMS (EI): calcd for  $\text{C}_{20}\text{H}_{26}\text{N}_2\text{O}_5$  ( $\text{M}^+$ ): 374.1842, found: 374.1849.

**4.1.5.20. Compound 8c.** Yield: 77% as pale yellow viscous liquid;  $R_f$ : (50% EtOAc/hexane) 0.50; IR (Neat)  $\nu_{\text{max}}$ : 3041, 2993, 2954, 1713, 1506, 1460, 1323, 1250, 1143, 974, 759  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ ):  $\delta$  7.34 (d, 1H,  $J=7.5$  Hz), 7.26–7.20 (m, 3H), 4.81–4.76 (m, s, 1H), 2.74–2.65 (m, 1H), 1.53–1.39 (m, 20H);  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ):  $\delta$  155.7, 144.1, 141.0, 128.4, 127.2, 124.6, 123.2, 80.5, 63.3, 62.4, 50.2, 31.3, 29.8, 28.3; HRMS (EI): calcd for  $\text{C}_{22}\text{H}_{30}\text{N}_2\text{O}_5$  ( $\text{M}^+$ ): 402.2155, found: 402.2159.

**4.1.5.21. Compound 8d.** Yield: 83% as pale yellow viscous liquid;  $R_f$ : (50% EtOAc/hexane) 0.43; IR (Neat)  $\nu_{\text{max}}$ : 3042, 2962, 2929, 1712, 1509, 1494, 1312, 1258, 1167, 1088, 977, 754  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ ):  $\delta$  7.37 (d, 1H,  $J=7.0$  Hz), 7.29–7.23 (m, 13H), 5.26–5.18 (m, 4H), 4.76 (br s, 1H), 4.62–4.53 (m, 2H), 3.68 (br s, 1H), 2.70 (br s, 1H), 1.45 (d, 1H,  $J=10.5$  Hz), 1.10 (d, 1H,  $J=9.5$  Hz);  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ):  $\delta$  156.8, 145.0, 141.6, 135.9, 129.4, 128.3, 125.7, 124.7, 124.4, 68.2, 62.3, 53.3, 43.6, 32.0; HRMS (EI): calcd for  $\text{C}_{28}\text{H}_{26}\text{N}_2\text{O}_5$  ( $\text{M}^+$ ): 470.1842, found: 470.1847.

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- X-ray crystal data of compound **4e**: empirical formula  $\text{C}_{20}\text{H}_{15}\text{N}_3\text{O}_3$ ; Formula weight 345.35; Temperature 293(2) K; Wavelength 0.71073 Å; Crystal system Monoclinic; Space group P2<sub>1</sub>/c; Unit cell dimensions  $a = 14.1108(16)$  Å,  $b = 90$  deg,  $c = 5.8515(7)$  Å,  $\beta = 93.655(2)$  deg,  $c = 19.352(2)$  Å,  $\gamma = 90$  deg; Volume = 1594.6(3) Å<sup>3</sup>,  $Z = 4$ , Density (calculated) 1.439 Mg/m<sup>3</sup>, Absorption coefficient = 0.099 mm<sup>-1</sup>; F(000) 720; Crystal size = 0.45 × 0.35 × 0.14 mm; Theta range for data collection 2.11–28.00 deg; Index ranges  $-18 \leq h \leq 14$ ,  $-7 \leq k \leq 7$ ,  $-22 \leq l \leq 25$ ; Reflections collected 8883; Independent reflections 3669 [R(int)=0.0284]; Absorption correction semi-empirical from equivalents; Max. and min. transmission 0.9862 and 0.9567 Refinement method; Full-matrix least-squares on F<sup>2</sup>; Data/restraints/parameters 3669/0/295; Goodness-of-fit on F<sup>2</sup> 1.320; Final R indices [I>2sigma(I)] R1=0.0802, wR2=0.1576, R indices (all data) R1=0.0874, wR2=0.1613; Largest diff. peak and hole 0.232 and -0.204 e Å<sup>-3</sup>. The crystal structure has been deposited at the Cambridge Crystallographic Data Centre and allocated the deposition number CCDC 749784.
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